



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/369,747	08/06/99	HUEBNER	V 1534.004

0232/0823

CHIRON CORPORATION
INTELLECTUAL PROPERTY - R440
P O BOX 8097
EMERYVILLE CA 94662-8097

NOT ASSIGNED

1614

DATE MAILED:

08/23/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$_____ for _____ total claims over 20.
\$_____ for _____ independent claims over 3.
\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date, is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

C. Moller

Customer Service Center

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

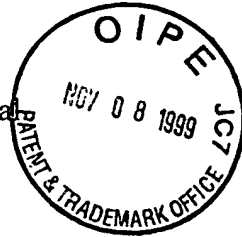
In re Application of

VERENA D. HUEBNER et al

Serial No.: 09/369,747

Filed: August 6, 1999

For: ESTROGEN RECEPTOR MODULATORS



Group Art: 1614

Examiner: Unassigned

ASSIGNMENT DOCUMENT COVER LETTER

Box Assignment

Assistant Commissioner of Patents and Trademarks
Washington, D.C. 20231

1. The accompanying assignment covers the patent or application identified above.

X No other patents or applications are covered by the assignment.

— Other patents and/or applications are covered by the assignment as shown
on the attached LIST OF PATENTS AND/OR APPLICATIONS COVERED
BY ASSIGNMENT.

NAMES OF PARTY MAKING TRANSFER

2. Name 1:	Verena D. Huebner	Beata Krywult
	Xiaodong Lin	Rajinder Singh
	Ian James	Liang Wang
	Liya Chen	Manoj Desai

IDENTITY OF PARTY TO WHOM TRANSFER IS MADE

3. Transfer is being made to:

CHIRON CORPORATION
4560 Horton Street
Emeryville, California 94608-2916
United States of America

COPY ONLY
DO NOT FORWARD
TO
ASSIGNMENT BRANCH

INTENTION OF DOCUMENT

4. The accompanying document is an:

 X Assignment License
 Security Interest Other

 X Execution Date: 09/30, 10/05, 10/11, 10/14 and 10/21/99

FEE PAYMENT

5. Total fee due \$40.00

6. Charge Deposit Account No. 03-1664 in the sum of \$40.00.

 X Enclosed is a check for \$40.00.

 X The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account No. 03-1664. THIS IS NOT AN AUTHORIZATION FOR PAYMENT OF ANY ISSUE FEES.

**ADDRESS TO WHICH DOCUMENT SHOULD BE RETURNED AFTER RECORDAL
BY PTO**

7. Please return the recorded document to:


CHIRON CORPORATION
Intellectual Property - R440
P.O. Box 8097
Emeryville, California 94662-8097
United States of America

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ASSIGNMENT BRANCH

STATEMENT BY THE PARTY

8. I hereby submit that, to the best of my knowledge and belief, the foregoing information contained herein is true and correct and any copy submitted herewith is a true and correct copy of the original document.

Respectfully submitted,

By: 
David P. Lentini
Attorney for Assignee
Reg. No. 33,944

November 2, 1999

CHIRON CORPORATION
Intellectual Property - R440
P.O. Box 8097
Emeryville, California 94662-8097
(510) 923-2706
(510) 655-3542 (Fax)

Total number of pages comprising cover sheet: 18 (Cover Letter plus Original Assignment)

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I hereby certify that this paper is being deposited in the United States Postal Service as first class mail in an envelope addressed: BOX MISSING PARTS, Assistant Commissioner of Patent and Trademarks, Washington, D.C. 20231 on November 2, 1999.

Gyne Riser
Gyne Riser

11.02.99
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

VERENA D. HUEBNER et al.

Serial No.: 09/369,747

Filed: August 6, 1999

For: ESTROGEN RECEPTOR MODULATORS



Group Art: 1614

Examiner: Unassigned

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

CHIRON CORPORATION

(Name of Assignee)

, a

Corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest, or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☐ An Assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application/patent identified above to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet.

[X] Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (*i.e.*, the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO.
See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

Date: 11/2/97

Name: David P. Lentini

Title: Assistant Secretary, CHIRON CORPORATION

Signature: 